



**LIST OF MARGINABLE SECURITIES WITH EFFECT FROM 12 OCTOBER 2017  
(HK MARKET)**

<b>No.</b>	<b>Code</b>	<b>Security Name (Sorted by Alphabetical Order)</b>	<b>Group</b>	<b>Valuation Ratio</b>	<b>Price Cap</b>	<b>Marginable Value Cap Per Client</b>
1	2018	AAC TECHNOLOGIES HOLDINGS IN	C	50%	-	-
2	3383	AGILE GROUP HOLDINGS LTD	C	50%	-	-
3	1288	AGRICULTURAL BANK OF CHINA-H	A	90%	-	-
4	1299	AIA GROUP LTD	A	90%	-	-
5	0753	AIR CHINA LTD-H	C	50%	-	-
6	2600	ALUMINUM CORP OF CHINA LTD-H	C	50%	-	-
7	0347	ANGANG STEEL CO LTD-H	C	50%	-	-
8	0914	ANHUI CONCH CEMENT CO LTD-H	C	50%	-	-
9	0995	ANHUI EXPRESSWAY CO LTD-H	C	50%	-	-
10	1045	APT SATELLITE HOLDINGS LTD	C	50%	-	-
11	0522	ASM PACIFIC TECHNOLOGY	B	70%	-	-
12	2357	AVICHINA INDUSTRY & TECH-H	C	50%	-	-
13	1958	BAIC MOTOR CORP LTD-H	C	50%	-	-
14	3988	BANK OF CHINA LTD-H	A	90%	-	-
15	1963	BANK OF CHONGQING CO LTD-H	C	50%	-	-
16	3328	BANK OF COMMUNICATIONS CO-H	A	90%	-	-
17	0023	BANK OF EAST ASIA LTD	A	90%	-	-
18	0694	BEIJING CAPITAL INTL AIRPO-H	B	70%	-	-
19	0392	BEIJING ENTERPRISES HLDGS	B	70%	-	-
20	2388	BOC HONG KONG HOLDINGS LTD	A	90%	-	-
21	1114	BRILLIANCE CHINA AUTOMOTIVE	C	50%	-	-
22	1211	BYD CO LTD-H	C	50%	-	-
23	0341	CAFE DE CORAL HOLDINGS LTD	C	50%	-	-
24	0699	CAR INC	C	50%	-	-
25	0293	CATHAY PACIFIC AIRWAYS	A	90%	-	-
26	1375	CENTRAL CHINA SECURITIES C-H	C	50%	-	-
27	1816	CGN POWER CO LTD-H	B	70%	-	-
28	0606	CHINA AGRI-INDUSTRIES HLDGS	C	50%	-	-
29	3983	CHINA BLUECHEMICAL LTD - H	C	50%	-	-
30	1359	CHINA CINDA ASSET MANAGEME-H	C	50%	-	-
31	0998	CHINA CITIC BANK CORP LTD-H	B	70%	-	-
32	1898	CHINA COAL ENERGY CO-H	C	50%	-	-
33	1800	CHINA COMMUNICATIONS CONST-H	B	70%	-	-
34	0552	CHINA COMMUNICATIONS SERVI-H	C	50%	-	-
35	0939	CHINA CONSTRUCTION BANK-H	A	90%	-	-
36	0670	CHINA EASTERN AIRLINES CO-H	C	50%	-	-
37	6818	CHINA EVERBRIGHT BANK CO L-H	B	70%	-	-
38	0257	CHINA EVERBRIGHT INTL LTD	C	50%	-	-
39	0165	CHINA EVERBRIGHT LTD	C	50%	-	-
40	0506	CHINA FOODS LTD	B	70%	-	-

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41	6881	CHINA GALAXY SECURITIES CO-H	C	50%	-	-
42	3908	CHINA INTERNATIONAL CAPITA-H	C	50%	-	-
43	2039	CHINA INTERNATIONAL MARINE-H	C	50%	-	-
44	2628	CHINA LIFE INSURANCE CO-H	A	90%	-	-
45	0916	CHINA LONGYUAN POWER GROUP-H	B	70%	-	-
46	0867	CHINA MEDICAL SYSTEM HOLDING	B	70%	-	-
47	2319	CHINA MENGNIU DAIRY CO	B	70%	-	-
48	3968	CHINA MERCHANTS BANK-H	B	70%	-	-
49	0144	CHINA MERCHANTS PORT HOLDING	A	90%	-	-
50	6099	CHINA MERCHANTS SECURITIES-H	C	50%	-	-
51	1988	CHINA MINSHENG BANKING-H	B	70%	-	-
52	0941	CHINA MOBILE LTD	A	90%	-	-
53	3323	CHINA NATIONAL BUILDING MA-H	B	70%	-	-
54	2883	CHINA OILFIELD SERVICES-H	C	50%	-	-
55	0688	CHINA OVERSEAS LAND & INVEST	A	90%	-	-
56	2601	CHINA PACIFIC INSURANCE GR-H	B	70%	-	-
57	0386	CHINA PETROLEUM & CHEMICAL-H	A	90%	-	-
58	2380	CHINA POWER INTERNATIONAL	C	50%	-	-
59	1186	CHINA RAILWAY CONSTRUCTION-H	B	70%	-	-
60	0390	CHINA RAILWAY GROUP LTD-H	B	70%	-	-
61	1508	CHINA REINSURANCE GROUP CO-H	C	50%	-	-
62	0291	CHINA RESOURCES BEER HOLDIN	B	70%	-	-
63	1109	CHINA RESOURCES LAND LTD	B	70%	-	-
64	0836	CHINA RESOURCES POWER HOLDIN	B	70%	-	-
65	1088	CHINA SHENHUA ENERGY CO-H	A	90%	-	-
66	1055	CHINA SOUTHERN AIRLINES CO-H	C	50%	-	-
67	3311	CHINA STATE CONSTRUCTION INT	C	50%	-	-
68	0966	CHINA TAIPING INSURANCE HOLD	C	50%	-	-
69	0728	CHINA TELECOM CORP LTD-H	B	70%	-	-
70	0308	CHINA TRAVEL INTL INV HK	C	50%	-	-
71	0762	CHINA UNICOM HONG KONG LTD	A	90%	-	-
72	2202	CHINA VANKE CO LTD-H	B	70%	-	-
73	0127	CHINESE ESTATES HOLDINGS LTD	C	50%	-	-
74	1111	CHONG HING BANK LTD	C	50%	-	-
75	3618	CHONGQING RURAL COMMERCIAL-H	C	50%	-	-
76	1929	CHOW TAI FOOK JEWELLERY GROU	B	70%	-	-
77	3899	CIMC ENRIC HOLDINGS LTD	C	50%	-	-
78	0267	CITIC LTD	A	90%	-	-
79	6030	CITIC SECURITIES CO LTD-H	B	70%	-	-
80	1113	CK ASSET HOLDINGS LTD	A	90%	-	-

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81	0001	CK HUTCHISON HOLDINGS LTD	A	90%	-	-
82	1038	CK INFRASTRUCTURE HOLDINGS L	B	70%	-	-
83	0002	CLP HOLDINGS LTD	A	90%	-	-
84	0883	CNOOC LTD	A	90%	-	-
85	2866	COSCO SHIPPING DEVELOPMENT-H	C	50%	-	-
86	1138	COSCO SHIPPING ENERGY TRAN-H	C	50%	-	-
87	1919	COSCO SHIPPING HOLDINGS CO-H	B	70%	-	-
88	1199	COSCO SHIPPING PORTS LTD	B	70%	-	-
89	2007	COUNTRY GARDEN HOLDINGS CO	C	50%	-	-
90	1766	CRRC CORP LTD - H	C	50%	-	-
91	6066	CSC FINANCIAL CO LTD-H	B	60%	-	-
92	1093	CSPC PHARMACEUTICAL GROUP LT	C	50%	-	-
93	1828	DAH CHONG HONG	C	50%	-	-
94	2356	DAH SING BANKING GROUP LTD	C	50%	-	-
95	0440	DAH SING FINANCIAL HOLDINGS	B	70%	-	-
96	0991	DATANG INTL POWER GEN CO-H	C	50%	-	-
97	3958	DFZQ-H	C	50%	-	-
98	0861	DIGITAL CHINA HOLDINGS LTD	C	50%	-	-
99	1072	DONGFANG ELECTRIC CORP LTD-H	C	50%	-	-
100	0489	DONGFENG MOTOR GRP CO LTD-H	B	70%	-	-
101	6178	EVERBRIGHT SECURITIES CO L-H	C	50%	-	-
102	0035	FAR EAST CONSORTIUM INTERNAT	C	50%	-	-
103	3360	FAR EAST HORIZON LTD	C	50%	-	-
104	2038	FIH MOBILE LTD	B	70%	-	-
105	0142	FIRST PACIFIC CO	C	50%	-	-
106	0656	FOSUN INTERNATIONAL LTD	C	50%	-	-
107	0027	GALAXY ENTERTAINMENT GROUP L	A	90%	-	-
108	0175	GEELY AUTOMOBILE HOLDINGS LT	B	70%	-	-
109	1776	GF SECURITIES CO LTD-H	B	70%	-	-
110	0709	GIORDANO INTERNATIONAL LTD	C	50%	-	-
111	0787	GLOBAL BRANDS GROUP HOLDING	C	50%	-	-
112	0041	GREAT EAGLE HOLDINGS LTD	B	70%	-	-
113	2333	GREAT WALL MOTOR COMPANY-H	C	50%	-	-
114	0270	GUANGDONG INVESTMENT LTD	C	50%	-	-
115	0525	GUANGSHEN RAILWAY CO LTD-H	B	70%	-	-
116	2238	GUANGZHOU AUTOMOBILE GROUP-H	B	70%	-	-
117	0053	GUOCO GROUP LTD	C	50%	-	-
118	2611	GUOTAI JUNAN SECURITIES CO-H	B	70%	-	-
119	1169	HAIER ELECTRONICS GROUP CO	B	70%	-	-
120	0665	HAITONG INTERNATIONAL SECURI	C	40%	-	-

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121	6837	HAITONG SECURITIES CO LTD-H	B	70%	-	-
122	0010	HANG LUNG GROUP LTD	B	70%	-	-
123	0101	HANG LUNG PROPERTIES LTD	A	90%	-	-
124	0011	HANG SENG BANK LTD	A	90%	-	-
125	2828	HANG SENG H-SHARE IND ETF-HK	B	70%	-	-
126	1133	HARBIN ELECTRIC CO LTD-H	C	50%	-	-
127	0012	HENDERSON LAND DEVELOPMENT	A	90%	-	-
128	1044	HENGAN INTL GROUP CO LTD	B	70%	-	-
129	2638	HK ELECTRIC INVESTMENTS -SS	B	70%	-	-
130	0480	HKR INTERNATIONAL LTD	C	50%	-	-
131	6823	HKT TRUST AND HKT LTD-SS	C	50%	-	-
132	0003	HONG KONG & CHINA GAS	A	90%	-	-
133	0044	HONG KONG AIRCRAFT ENGINEER	C	50%	-	-
134	0388	HONG KONG EXCHANGES & CLEAR	A	90%	-	-
135	0045	HONGKONG & SHANGHAI HOTELS	C	50%	-	-
136	0737	HOPEWELL HIGHWAY INFRASTRUCT	B	70%	-	-
137	0054	HOPEWELL HOLDINGS LTD	B	70%	-	-
138	0005	HSBC HOLDINGS PLC	A	90%	-	-
139	0816	HUADIAN FUXIN ENERGY CORP -H	C	50%	-	-
140	1071	HUADIAN POWER INTL CORP-H	C	50%	-	-
141	0902	HUANENG POWER INTL INC-H	C	50%	-	-
142	0958	HUANENG RENEWABLES CORP-H	C	50%	-	-
143	6886	HUATAI SECURITIES CO LTD-H	B	70%	-	-
144	3698	HUIZHANG BANK CORP LTD-H	C	50%	-	-
145	0215	HUTCHISON TELECOMM HONG KONG	B	70%	-	-
146	0014	HYSAN DEVELOPMENT CO	B	70%	-	-
147	1398	IND & COMM BK OF CHINA-H	A	90%	-	-
148	0177	JIANGSU EXPRESS CO LTD-H	B	70%	-	-
149	0358	JIANGXI COPPER CO LTD-H	C	50%	-	-
150	0179	JOHNSON ELECTRIC HOLDINGS	C	50%	-	-
151	0173	K WAH INTL HOLDINGS	C	50%	-	-
152	0683	KERRY PROPERTIES LTD	B	70%	-	-
153	0135	KUNLUN ENERGY CO LTD	A	90%	-	-
154	3396	LEGEND HOLDINGS CORP-H	C	50%	-	-
155	0992	LENOVO GROUP LTD	A	90%	-	-
156	0494	LI & FUNG LTD	B	70%	-	-
157	0980	LIANHUA SUPERMARKET HLDGS -H	C	50%	-	-
158	0823	LINK REIT	B	70%	-	-
159	0973	L'OCCITANE INTERNATIONAL SA	C	50%	-	-
160	0323	MAANSHAN IRON & STEEL-H	C	50%	-	-

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161	1680	MACAU LEGEND DEVELOPMENT LTD	B	70%	-	-
162	0945	MANULIFE FINANCIAL CORP	B	70%	-	-
163	1618	METALLURGICAL CORP OF CHIN-H	C	50%	-	-
164	2282	MGM CHINA HOLDINGS LTD	B	70%	-	-
165	0066	MTR CORP	A	90%	-	-
166	1336	NEW CHINA LIFE INSURANCE C-H	C	50%	-	-
167	0017	NEW WORLD DEVELOPMENT	A	90%	-	-
168	0659	NWS HOLDINGS LTD	C	50%	-	-
169	0316	ORIENT OVERSEAS INTL LTD	B	70%	-	-
170	0008	PCCW LTD	B	70%	-	-
171	1339	PEOPLE'S INSURANCE CO GROU-H	B	70%	-	-
172	0857	PETROCHINA CO LTD-H	A	90%	-	-
173	2328	PICC PROPERTY & CASUALTY-H	B	70%	-	-
174	2318	PING AN INSURANCE GROUP CO-H	A	90%	-	-
175	0119	POLY PROPERTY GROUP CO LTD	C	50%	-	-
176	1658	POSTAL SAVINGS BANK OF CHI-H	B	70%	-	-
177	0006	POWER ASSETS HOLDINGS LTD	A	90%	-	-
178	1913	PRADA S.P.A.	C	50%	-	-
179	1122	QINGLING MOTORS CO LTD-H	C	50%	-	-
180	0178	SA SA INTERNATIONAL HLDGS	C	50%	-	-
181	1928	SANDS CHINA LTD	A	90%	-	-
182	0981	SEMICONDUCTOR MANUFACTURING	C	50%	-	-
183	2727	SHANGHAI ELECTRIC GRP CO L-H	C	50%	-	-
184	0363	SHANGHAI INDUSTRIAL HLDG LTD	C	50%	-	-
185	2607	SHANGHAI PHARMACEUTICALS-H	C	50%	-	-
186	0069	SHANGRI-LA ASIA LTD	B	70%	-	-
187	0548	SHENZHEN EXPRESSWAY CO-H	B	70%	-	-
188	0152	SHENZHEN INTL HOLDINGS	C	50%	-	-
189	0604	SHENZHEN INVESTMENT LTD	C	50%	-	-
190	0242	SHUN TAK HOLDINGS LTD	C	50%	-	-
191	0083	SINO LAND CO	A	90%	-	-
192	0297	SINOFERT HOLDINGS LTD	C	50%	-	-
193	3377	SINO-OCEAN GROUP HOLDING LTD	C	50%	-	-
194	2386	SINOPEC ENGINEERING GROUP-H	C	50%	-	-
195	1033	SINOPEC OILFIELD SERVICE -H	C	50%	-	-
196	0338	SINOPEC SHANGHAI PETROCHEM-H	C	50%	-	-
197	1099	SINOPHARM GROUP CO-H	C	50%	-	-
198	0598	SINOTRANS LIMITED-H	C	50%	-	-
199	0368	SINOTRANS SHIPPING LTD	C	50%	-	-
200	0880	SJM HOLDINGS LTD	C	50%	-	-

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201	0751	SKYWORTH DIGITAL HLDGS LTD	C	50%	-	-
202	0315	SMARTONE TELECOMMUNICATIONS	C	50%	-	-
203	0983	SOCAM DEVELOPMENT LTD	C	50%	-	-
204	2840	SPDR GOLD SHARES	C	50%	-	-
205	2888	STANDARD CHARTERED PLC	B	70%	-	-
206	6808	SUN ART RETAIL GROUP LTD	C	50%	-	-
207	0016	SUN HUNG KAI PROPERTIES	A	90%	-	-
208	2382	SUNNY OPTICAL TECH	C	50%	-	-
209	0019	SWIRE PACIFIC LTD - CL A	A	90%	-	-
210	0087	SWIRE PACIFIC LTD-CL B	B	70%	-	-
211	1972	SWIRE PROPERTIES LTD	B	70%	-	-
212	0088	TAI CHEUNG HOLDINGS	C	50%	-	-
213	0511	TELEVISION BROADCASTS LTD	B	70%	-	-
214	0700	TENCENT HOLDINGS LTD	A	90%	-	-
215	0321	TEXWINCA HOLDINGS LTD	C	50%	-	-
216	0322	TINGYI (CAYMAN ISLN) HLDG CO	B	70%	-	-
217	2800	TRACKER FUND OF HONG KONG	A	90%	-	-
218	0062	TRANSPORT INTL HLDG LTD	C	50%	-	-
219	0696	TRAVELSKY TECHNOLOGY LTD-H	C	50%	-	-
220	0168	TSINGTAO BREWERY CO LTD-H	C	50%	-	-
221	0303	VTECH HOLDINGS LTD	C	50%	-	-
222	0151	WANT WANT CHINA HOLDINGS LTD	B	70%	-	-
223	2338	WEICHAJ POWER CO LTD-H	C	50%	-	-
224	0288	WH GROUP LTD	B	70%	-	-
225	0004	WHARF HOLDINGS LTD	A	90%	-	-
226	0020	WHEELOCK & CO LTD	B	70%	-	-
227	1171	YANZHOU COAL MINING CO-H	B	70%	-	-
228	0551	YUE YUEN INDUSTRIAL HLDG	B	70%	-	-
229	0123	YUEXIU PROPERTY CO LTD	C	50%	-	-
230	0576	ZHEJIANG EXPRESSWAY CO-H	B	70%	-	-
231	3898	ZHUZHOU CRRC TIMES ELECTRI-H	C	50%	-	-
232	0763	ZTE CORP-H	C	50%	-	-

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